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Docket No. SYCH/0002.01 PTO/SB/21 (04-04) Approved for use through 07/31/2006, OMB 0851-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to Application Number a collection of information unless it displays a valid OMB control number. TRANSMITTAL Filing Date November 6, 2003 **FORM** First Named Inventor Ht11 Art Unit (to be used for all correspondence after initial filling) Unassigned Examiner Name Unassigned Attorney Docket Number 124 SYCH/0002.01 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Finel Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Request for Refund Express Abandonment Request х CD, Number of CD(s) Information Disclosure Statement Certified Copy of Priority Document(s) This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in Sec. 1.56. Response to Missing Parts/ Copies of references are enclosed. Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Flm John A. Smart Individual name Signature Date August 30, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Phone: 408 884 1507 John A. Smart Fax: 815 572 8299

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				Application Number	10/605,938	
INFO	DRMATION	1 D	ISCLOSURE	Filing Date	November 6, 2003	
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0171			/ (( / E(O) (( ) )	Group Art Unit	Unassigned	
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Sheet	1	of	1	Attorney Docket Number	SYCH/0002.01	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
		IN, J., ET AL, Policy Based Scheduling for Simple Quality of Service in Grid Computing, 18th International Parallel and Distributed Processing Symposium (IPDPS '04), Santa Fe, New Mexico, April 26, 2004					
		APPLEBY, K., ET AL, Policy-based automated provisioning, IBM Systems Journal, Vol 43, No. 1, pages 121-135, March 2004					
		DAN, A., ET AL, Web Services on Demand: WSLA-driven automated management, IBM Systems Journal, Vol 43, No. 1, pages 136-158, March 2004					
·		WASSON, G. ET AL, Policy and Enforcement in Virtual Organizations, In 4th International Workshop on Grid Computing (Grid2003), Phoenix, AZ, November 17, 2003					
		SAHAI, A., ET AL, Automated Policy-Based Resource Construction in Utility Computing Environments, HP Laboratories HPL-2003-176, August 21st 2003					
		WASSON G., ET AL, Towards Explicit Policy Management for Virtual Organizations, in IEEE 4th International Workshop on Policies for Distributed Systems and Networks, Lake Como, Italy, June 4-6, 2003					
		BOX, D., ET AL, Web Services Policy Framework (WS-Policy), Version 1.1, BEA Systems, Inc., International Business Machines Corporation, Microsoft Corporation, SAP AG, May 28, 2003					
		DUMITRESCU, C., ET AL., Policy-based Resource Allocation for Virtual Organizations, Technical Report, Department of Computer Science, University of Chicago, 2003					
		DEKHIL, M., ET AL, Generalized Policy Model for Application and Service Management, Software Technology Lab, Hewlett-Packard Laboratories, November 15, 1999					
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